

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **Kazumasa TAKABAYASHI, et al.**

Serial Number: **Not Yet Assigned**

Filed: **March 29, 2004**

For: **WAVELENGTH TUNABLE LASER AND METHOD OF CONTROLLING  
THE SAME**

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

March 29, 2004

Sir:

In compliance with 37 CFR 1.56, Applicants call to the attention of the Patent and Trademark Office the references listed on the attached PTO-1449.

A copy of each of the references, except for the U.S. patent references, is enclosed herewith.

In the event there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 01-2340.

Respectfully submitted,  
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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; References (5)

**INFORMATION  
DISCLOSURE  
STATEMENT  
PTO-1449**

Atty. Docket No. **040159** Serial No. **New Application**

Applicant(s): **Kazumasa TAKABAYASHI, et al.**

Filing Date: **March 29, 2004** Group Art Unit: **Not Yet Assigned**

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA	2003/0142700 A1 G.D. Pontis, et al.	07/31/03			
_____	AB	4,622,672 L.A. Coldren, et al.	11/11/86			
_____	AC					

**FOREIGN PATENT DOCUMENTS**

Document No.	Date	Country	Translation (Yes or No)
_____	AD		
_____	AE		

**OTHER DOCUMENTS**

_____	AF	Patent Abstracts of Japan, Number 7-335965, published December 22, 1995.
_____	AG	Patent Abstracts of Japan, Number 2001-85774, published March 30, 2001./Discussed in the specification
_____	AH	Patent Abstracts of Japan, Number 2001-251013, published September 14, 2001./Discussed in the specification
_____	AI	Patent Abstracts of Japan, Number 2002-185074, published June 28, 2002./Discussed in the specification
_____	AJ	A. Sharon, et al.; "Resonant grating-waveguide structures for visible and near-infrared radiation"; <i>Journal of the Optical Society of America A</i> ; Vol. 14; No. 11; November 1997; pp. 2985-2993./Discussed in the specification.

Examiner

Date Considered